DCI-ASIE/2014/350-601

EU-China Social Protection Reform Project

Component 1: Support to strengthen NDRC institutional capacity

for the development and implementation of Social Protection reforms

Fifth Six-month Component Status Report

17 November 2016 – 16 May 2017

Report submitted by EXPERTISE FRANCE,

Component 1 Coordinator

(First draft)



May 2017

TA to improve and develop the Social

# **Introduction**

This fifth Component 1 Status report presents component-related activities under the six-month period starting from 17 November 2016.

During the period under review, the project component C1 completed its cycle of activities for 2016, and worked on the design, adoption and initial implementation of the programme of its activities for the year 2017.

Thanks to a very precise planning process, practically all activities to be undertaken under C1 programme for 2016 had been completed at the time of producing the Component 4th Status report – namely 16 November 2016. Sufficient time could therefore be devoted by the various partners involved to jointly review and fine-tune proposals for the2017 Programme of activities – that was adopted during the Project advisory committee PAC, meeting on 24 February 2017 in Beijing.

Component 1 programme of activities for 2017 is a very rich, ambitious and somehow complex one. In addition to the consideration of new topics for research and assessment purposes, in collaboration between Chinese and European researchers, it enhances the geographical scope of coverage of the project for capacity building to non-consortium member countries, which is a factor of difficulty for both Chinese and European secretariats which may require some adjustment in managerial practices and provides for the launching of active bilateral cooperation between European partners and selected pilot sites, on themes and topics identified as of mutual interest by both sides on the occasion of a programming mission that took place in February-March 2017.

After the two Results Oriented Monitoring ROM missions that were conducted in 2016 at the request of the EU Delegation, a statutory mid-term evaluation review was implemented by a team of independent consultants in April 2017. Although, at the time of writing this report, the results of the mission have not yet been disclosed, it is hoped that the efficient collaborative work associating the project office, its Secretariat and its Chinese partners will be once again recognized and dully appraised, thus allowing for a smooth and successful continuation of activities.

Laurent de Lespinay,

Coordinator Component 1,

16 May 2017.

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**Relevant Acronyms**

|  |  |
| --- | --- |
| Acronym | Description |
| ACFTU | All-China Federation of Trade Unions |
| ACWF | All-China Women's Federation |
| CEC | China Enterprise Confederation  |
| CASS | China Academy of Social Science |
| CISS | Centre For International Social Security Studies |
| EN3S | Ecole Nationale Supérieure de Sécurité Sociale (National School of Higher Social Security Studies) |
| EU | European Union |
| EU MS | European Member State |
| EUD | European Union Delegation |
| FES | Friedrich Ebert Stiftung |
| HLE | High Level Event |
| ICC | International cooperation centre of the NDRC |
| ICT | Information and Communication Technology |
| ILO | International Labour Organization |
| IMC | Internal Management Committee |
| ISSA | International Social Security Association |
| M&E | Monitoring and Evaluation  |
| MoCA | Ministry of Civil Affairs |
| MoF | Ministry of Finance |
| MOFCOM | Ministry of Commerce  |
| MoHRSS | Ministry of Human Resources and Social Security |
| NDRC | National Development and Reform Commission |
| NGO | Non-Governmental Organization |
| OECD | Organization for Economic Co-operation and Development  |
| PAC | Project Advisory Committee |
| ROM | Results oriented monitoring mission |
| SAFEA | State Administration of Foreign Experts Affairs |
| SOCIEUX | Social Protection European Union Expertise in development cooperation  |
| UNDP | United Nations Development Programme |
| UN Women | United Nations Entity for Gender Equality and the Empowerment of Women |

# **Background Information and General Considerations**

CHAPTER

CHAPTER

This report describes delivery of activities under the Component 1 - Support to strengthen NDRC institutional capacity for the development and implementation of Social Protection reforms - of the EU-China Social Protection Reform Project over the period 17 November 2016 – 16 May 2017 (fifth six-month period of implementation). The Grant establishing the project was signed on 17 November 2014.

Results pursued

Component 1 of the project has specifically to pursue 4 of its altogether 11 identified results - results R2 to R5 inclusive -, namely:

- Improved interagency cooperation in social protection reform (R2);

- Enhanced capacity in policy development, implementation and evaluation (R3);

- Strengthening the interface of the various pension schemes towards full coverage in old-age (R4); and,

- Reform efforts in response to urbanization trends, concerning notably basic protection and portability of rights (R5).

NDRC is the main stakeholder and beneficiary for Component 1 activities.

All of the above-mentioned results have started being actively pursued at the time of writing this report. While initially 18 technical topics were considered for possible review under the project working methodology (analysis and assessment of the situation, confrontation with most relevant European best practices, formulation and adoption of reform proposals by the Chinese side), the evolution in national priorities and the opportunity to jointly consider a number of topics linked to parametric pension reform, led to a number of amendment in the list of topics, endorsed by the PAC meeting on 24 February 2017.

Annex 1 provides a picture of progress made in terms of results achieved and envisaged by C1 component of the project, including reference to the challenges addressed, the best practices used and the core reform recommendations produced whenever relevant.

Topics to be considered

Table 1 below presents the topics selected for implementation under C1 since 2015 activity plan. The PAC, meeting on 6 April 2016 and 24 February 2017, upheld the adjunction of new topics to the initial list (topics 1.1.3, 1.2.3, 124) to better respond to the evolving national priorities since the elaboration of the Grant application form. It also took note of the merger of various topics all corresponding to one aspect of the same consideration, namely the Parametric reform of pension schemes (topics henceforth grouped under 1.3.6)

Table 1 – Consolidated list of topics, component 1

|  |  |  |
| --- | --- | --- |
|  | Topic | Observations |
| R2 | 1.1.1 | Social insurance administration systems reform – Contribution to the XIIIth Five-Years Plan | Reformulated 2015 |
| 1.1.2 | Coordination of policy making among government agencies in areas related to social protection reform | On-going |
| 1.1.3 | Monitoring interaction between employment promotion and social protection policies | Introduced 2016 |
| R3 | 1.2.1 | Relationship of pension benefit with minimum social wage | Merged with 1.3.6 |
| 1.2.2 | National policy evaluation technique in the area of social protection (indicators, methods and programs) | 2017 |
| 1.2.3 | Affordability of Pension schemes (contributions and Government subsidies | Introduced 2016 |
| 1.2.4 | Gender considerations in pension schemes | Introduced 2017 |
| R4 | 1.3.1 | Pension reform for public sectors | 2015 |
| 1.3.2 | Social pooling of the basic pension component – Evaluation of the combination between basic pension and individual accounts | Reformulated 2015 |
| 1.3.3 | Universal social pension models | 2018 ? |
| 1.3.4 | Multi-tiered design of pension systems (public pension, enterprise annuity and individual pension) | 2015 |
| 1.3.5 | Occupational pension plans for public sectors and private pension plans | 2018 ? |
| 1.3.6 | Parametric reforms of pension system (Vesting, indexation, adjustment mechanisms of pension benefit, benefit formula etc.) | Reformulated 2017 – Merged with 1.2.1, 1.3.9, 1.4.1) |
| 1.3.7 | Ageing population and possible strategy of dealing with this situation | 2016 |
| 1.3.8 | Issue related to the informal sector integration in social security schemes | 2018 ? |
| 1.3.9 | NDC (notional defined contribution) pension reform  | Merged with 1.3.6 |
| R5 | 1.4.1 | Improvement of the individual account component in public pension system for urban workers | Merged with 1.3.6 |
| 1.4.2 | Relationship between social-economic development and the redistribution function of social security | 2016 – Ctd 2017 |
| 1.4.3 | Strategy of integrating social security system in urban and rural context also through the portability of social insurances | 2015 |

European best practices

The project Component 1 benefits from exposure to European best practices through two main channels, one being direct exposure to outside realities through overseas capacity building, dialogue and study visits, and the other exchanges with European experts on topic of interest for the Chinese social security reform process.

Under Component 1 auspices, overseas activities were already conducted in Belgium, France, Spain, Poland and the Czech Republic. As part of 2017 programme of activities, overseas visits will be conducted in Germany, Spain, Belgium, Italy, France, Finland, Sweden and Romania.

During activities conducted since the beginning of the project, including the period covered by the present report, Chinese beneficiaries could interact with some 90 experts and officials coming from sixteen European countries, including representatives from ten international organizations or projects. Details on the use of European and international expertise are to be found in Annex 2.

National expertise

The project methodology relies on expertise from Chinese experts to provide assessment of topics under consideration, and submit related draft reform proposals for further discussion among Chinese stakeholders, in view notably of the matching most relevant European and international experience. Since the beginning of the project, 12 technical topics were or are being explored under project auspices, mobilizing 29 high-level Chinese experts. Details on the use of national expertise are provided in annex 3 of this Report.

Stakeholders

The main Chinese stakeholder for Component 1 of the project is the National Development and Reform Commission, NDRC. NDRC Department of Employment and Income distribution is the lead technical unit for Component 1, which otherwise also deals with the Commission's International Cooperation Centre ICC - Division of International Cooperation. Stakeholders include target groups and final beneficiaries in selected pilot sites (Guangdong and Sichuan provinces, Shanghai city).

Component 1 of the project also entertains privileged relations with entities and individuals interested in project works, outside the circle of NDRC. The component has developed working relations with several international organizations based in Beijing, such as the ILO, the World Bank, the UNDP, the British Council, the Friedrich-Ebert-Foundation FES.

Human resources

The contract of the EU Resident expert for Component 1, Mr Jean-Victor Gruat (Expertise France) took effect on 20 December 2014. Within the project Beijing office, Ms Wang Qingqing acted as assistant for Component 1 from August to December 2015. Ms. Xu Chenjia has been working as C1 Component assistant from February to September 2016, and Ms Li Xingli from 1 October 2016. Mr Zhang Guoqing and Mr Fang Lianquan act as Main Chinese experts for the project Component 1 since April 2015 – respectively Main expert Operations and Main expert Research.

**C1 specific Visibility action**

Visibility activities for the whole project are entrusted to its horizontal Secretariat. A project website is accessible in English and Chinese languages. Selected important C1 project activities are reported in the bilingual Electronic bulletin of the EUD to China and Mongolia. Component 1 also produces (paper and electronic versions) a quarterly bilingual (English and Chinese) Newsletter.

# **Activities**

CHAPTER

CHAPTER

The current chapter presents a summary of activities conducted during the period under review as far as Component 1 is concerned – as well as preparatory work already conducted for activities ahead. The presentation follows the structure of the Plans adopted by the Project advisory committee meeting on 6 April 2016 and 24 February 2017.

For activities conducted earlier in 2016, kindly refer to the 4th Component status report

High Level Event

The High Level Event on Perspective of Employment Policy and Social Security Reform during 2016 to 2020 was held on 28 and 29 September 2016 in Beijing.

Its English summary of proceedings was published in three volumes towards the end of 2016 – vol.I, Conference report; vol. II, Assessment reports; vol. III, European country profiles. This publication is also available from the project website. A Chinese version of the publication is under finalisation at the time of writing this report.

The parties involved also agreed that the very rich technical contents of the Event should be further reviewed, in order to be fully taken into account when elaborating the Component programme of activities for 2017 and subsequent year.

Research Topics

A national expert – Prof. Zhou Hong, from the Chinese Academy of Social Sciences – will undertake and analysis and assessment of the Chinese situation in terms of parametric reform of the pension schemes. She will benefit from the works conducted in parallel by EU experts already identified and recruited, who will produce national country briefs on the situation in their respective countries resulting from parametric reforms conducted over the last decade or so. Countries concerned are France, Italy, the Czech Republic, Poland, Germany and Sweden. The Chinese and European experts will interact on two occasion, one for a peer review (panel discussion) to be held in Beijing in September 2017, and one for an international workshop to be held in France in October 2017 on Parametric reforms and Redistributive functions of pension schemes.

Mr Li Shi, from Beijing Normal University, will prepare a report (analysis, assessment and reform proposals) on the link between changes in pension parameters and redistribution. It is expected that the expert will interact duly with the experts dealing with parametric reforms, and take part in the above-mentioned International workshop.

It was decided that the newly introduced topic on Gender considerations under pension reform will be addressed at the local level. Prof. Lin Yi, from Sichuan South-west University, and prof. Yue Jinglun, from Guangdong based Sun Yat Sen University, have committed to conducting these reviews.

## Conducting overseas activities

### Training activity in Germany

Early contact was made by NDRC and Expertise France with a German University expected to host a two weeks training course for some 20 NDRC High Level Officials on the topic of Evaluation of Social security and Employment policies, including long term care services for an ageing population. However, difficulties concerning budgetary and procedural matters led to delays, and it is now likely that the activity, initially foreseen for the month of June 2017, will be postponed to a later date in 2017 (most probably in fall).

The participants in the training course will benefit from a one days technical briefing in Beijing before their departure, where two renowned specialists, Mr. Zheng Gongcheng, from Renmin University of China and Mr. Yue Jinglun, from Sun Yat Sen University, will explain the issues at stake for the evaluation of respectively social security and employment policies.

### 2017 Central officials Dialogue and Study visit

A Dialogue and Study Visit for a delegation of 6 high level officials from central level of NDRC and its ICC will be organized over eight days in Finland and Sweden in October 2017 on the redistributive effects of social security and employment policies. Contacts were made by Expertise France with institutions likely to host the study visit.

Contact was established with Romanian authorities, for the organization in their country of a study visit of a few days in principle on the topic of Gender issues in Pension reform, to be organised immediately following a coordination meeting between Chinese and European main stakeholders of the project, scheduled for the end of the current year in Rome.

### Visit by NDRC vice-chairman

Mr. Wang Xiaotao, NDRC vice-chairperson, will be on a few days official visit with project support to Italy, Spain and the European Commission. The visit initially scheduled for April 2017 had to be postponed because of conflicting commitments. It will now take place early in July 2017. Preparations are proceeding smoothly. It is expected that the visit, centred on Social security and Employment policy reforms, will facilitate the conclusion of Memoranda of understanding allowing for a continuation of bilateral cooperation in topics of interest even beyond the project time-line.

## Working with pilot sites

From 26 February to 3 March 2017, NDRC and the interested Consortium members led a mission to C1 pilot sites in Shanghai and Guangdong Province. This activity intervened at the request of NDRC. Its objective was for members of the consortium to get better acquainted with the economic and social situation in the places visited, and to formulate with NDRC proposals for futurecooperation activities matching the expectations of the pilot sites, as well as the competences and availability of the European party.

Mission leaders were for NDRC Ms. Zhu Yingjuan, who was just appointed Director general in the Department of Employment and Income distribution, and for the Consortium Mr. Laurent de Lespinay, Coordinator for C1, Expertise France. Mr. Tretyak (France), Mr. Vleminckx (Belgium), Mr. Antochi and Ms. Pasqua (Italy) participated. The NDRC delegation included representatives from its Employment and Income distribution Department, as well as from its International Cooperation Center. The Beijing-base C1 project team was also part of the mission.

In Shanghai, the mission focused on long-term care, and innovative entreprises. It had fruitful discussions with representatives of the local Development and Reform Commission as well as with representatives from innovative entreprises, from the department of human resources and social security and the department of civil affairs. It appeared that Shanghai authorities had developed very interesting ways of handling long term care including through a pilot social insurance scheme, and that its technique was in a number of instances not second to that of European organizations. This opens interesting avenues for mutually beneficial cooperation that should be explored further.

In Guangdong Province, the mission focused more on social security computerisation and the integration of rural and urban pension schemes, including through schemes coordination for the vesting and portability of pension rights. The mission had first contacts with provincial authorities and Municipal Government in Guangzhou city, before discussing the situation also in Qingyuan, which is a very vast half urban/rich and half rural/poor prefecture in Guangdong Province. In the course of the discussion, where experts had ample time to reflect on the local situation and to refer to their own national backgrounds, it was very clear that the plans to be developed by the Chinese side for extension of social protection and improved computerization in social security would benefit from further direct cooperation from European partners. In turn, European partners would gain in getting better acquainted with approaches taken in Guangdong province, where modern technology is becoming more and more accessible, and is actually used in all aspects of social security management.

Tentative proposals for future technical cooperation with the pilot sites on themes identified were elaborated by Expertise France, acting as secretariat to the Mission, and are reproduced as Annex 4 to this report. Those proposals, which have been communicated to NDRC, will be revised and amended on the basis of the comments and suggestions to be received from the concerned Chinese stakeholders.

## Deliverables and Outputs

Annex 5 presents the outputs and deliverables from C1 component for the period under review, which fully corresponds to the Component activity plan – the slight delay affecting research on Demographic ageing mentioned under the 3rd Component status report having been absorbed. .

## Component Management

Annex 6 summarises the use made of human resources under the framework of Component 1 during the year 2016.

# **Planning for next period (17 May – 16 November 2017)**

CHAPTER

CHAPTER

The next six months period of the project will embrace the dates between 17 May and 16 November 2017. Over these months, the C1 team will complete a substantial part of its programme of activities for 2017. The proposed use of human resources for the year 2017 is indicated in annex 7 to this report.

There is no substantial change anticipated from the 2017 C1 Activity Plan that had been adopted following the Project Advisory Committee meeting on 24 February 2017 and included in the revised Project Aide-memoire (version 8) except for an anticipated delay in the overseas training programme and visit by NDRC vice chair person as already explained above (points 2.3.1 and 2.3.3). Activities for pilot sites will be included as addendum to the programme of activities, if agreed upon for implementation already in 2017.

The revised work plan and GANTT, reproduced as annexes 8 and 9, provide the anticipated evolution as far as C1 is concerned for the rest of the year 2017.

v.1, 16 May 2017.

# ANNEXES

 Annex 1

 Pursuance of project results

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Topic** | **Challenge** | **EU Best Practice mobilized** | **Reform proposed** |
| **R2** | 1.1.1 | Social insurance administration systems reform – Contribution to the 13th Five-Years Plan | Contribute XIII-5Complete SI management standardization | F, CZ, Be | Continuation efforts towards system wide standardizationIn Reform proposals, vol. I |
| 1.1.2 | Coordination of policy making among government agencies in areas related to social protection reform | United direction for proposing, planning, monitoring | EU globalF |  |
| 1.1.3 | Monitoring interaction between employment promotion and social protection policies | Long term economic viability of SS protection | EU globalBe, Cz, F, I, Pl, Ro, Sp | Monitor cost and efficiency of social protectionIn Reform proposals, vol. II |
| **R3** | 1.2.1 | Relationship of pension benefit with minimum social wage | Merged with 1.3.6 |  |  |
| 1.2.2 | National policy evaluation technique in the area of social protection (indicators, methods and programs) | Evaluate quality and relevance of reforms | D | Forthcoming |
| 1.2.3 | Affordability of Pension schemes (contributions and Government subsidies | Financial affordability in context of new normal | D | Conduct regular actuarial analysisIn Reform proposals, vol. II |
| 1.2.4 | Gender considerations in pension schemes | Preservation of women’s rights during reform | TBD | Forthcoming |
| **R4** | 1.3.1 | Pension reform for public sectors | Integration of civil servants into general scheme for employees | OECD; EU globalBe, F, SF, I, Nl, Sp | Fine tuning processes for integrationIn Reform proposals, vol. I |
| 1.3.2 | Social pooling of the basic pension component – Evaluation of the combination between basic pension and individual accounts | Make individual accounts more attractive | I, Sw | Promote organic combination of social pooling and individual accountsIn Reform Proposals, vol. I |
| 1.3.3 | Universal social pension models | 2018 ? |  |  |
| 1.3.4 | Multi-tiered design of pension systems (public pension, enterprise annuity and individual pension) | Build comprehensive three-tier model | F | Comprehensive model proposedIn Reform proposals, vol. I |
| 1.3.5 | Occupational pension plans for public sectors and private pension plans | 2018 ? |  |  |
| 1.3.6 | Parametric reforms of pension system (Vesting, indexation, adjustment mechanisms of pension benefit, benefit formula etc.) | Mobilize all parameters composing pension reform in pension schemeReformulated 2017 – Merged with 1.2.1, 1.3.9, 1.4.1) | Be, F, I, Cz, Pl, Sw, D | Forthcoming |
| 1.3.7 | Ageing population and possible strategy of dealing with this situation | Ageing before become rich syndrome; degradation of SI demographic ratio | Sp | Keep ageing workforce activeLong term care insuranceIn Reform proposals, vol. II |
| 1.3.8 | Issue related to the informal sector integration in social security schemes | 2018 ? |  |  |
| 1.3.9 | NDC (notional defined contribution) pension reform  | Merged with 1.3.6 |  |  |
| **R5** | 1.4.1 | Improvement of the individual account component in public pension system for urban workers | Merged with 1.3.6 |  |  |
| 1.4.2 | Relationship between social-economic development and the redistribution function of social security | Increase redistribution function of social security | EU globalSF, F | Monitor and improve redistribution through SSRelate parametric reform and redistributive functionsIn Reform Proposals, vol. II & forthcoming |
| 1.4.3 | Strategy of integrating social security system in urban and rural context also through the portability of social insurances | Improve system integration | EUSp | Ensure smooth transition from rural to urban schemeImprove mechanisms for vesting and portabilityIn Reform Proposals, vol. I |

 Annex 2

 Use of European and International experts, C1

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Year** | **EU STE** | **Gender** | **Country** | **Notes** |
|
| **2015** | Vleminckx, Koen | m | BEL | Best practices |
| Von Nordheim | m | DK | International Workshop Brussels February 2015 |
| Holzmann | m | At |
| Queisser | f | D |
| Vleminckx | m | Be |
| Gieselinck | m | Be |
| Paldanius | m | SF |
| Crudo | m | I |
| Gortzak | m | Nl |
| Barbera | m | Sp |
| Desfosses | m | F |
| Fatome Thomas | m | FRA | Training in France June 2015 |
| Salinaro Edmondo | m | ITA |
| Guegano Yves | m | FRA |
| Libault Dominique | m | FRA |
| de Vives Aude | f | FRA |
| Denechere Agathe | f | FRA |
| Bras Pierre Louis | m | FRA |
| Aubert Patrick | m | FRA |
| Izard Jean-Luc | m | FRA |
| d'Yvoire Arnaud | m | FRA |
| Carta Allessandra | f | ITA |
| Ball Frederic | m | FRA |
| Landou Christophe | m | FRA |
| Pullman Tim | m | FRA |
| Weishaupt Thierry | m | FRA |
| Geraci Marco | m | FRA |
| Elena Dobre | f | ROM |
| Rusandu Olivia | f | ROM |
| Pablo Blazquez Antonio | m | SPA |
| Truphemus Sylvie | f | FRA |
| Meyet Michel | m | FRA |
| Pinel Philippe | m | FRA |
| Chastan Eric | m | FRA |
| Desfosses Philippe | m | FRA |
| **2016** | Gauvin | f | FRA | April Dialogue20 in total for all four experts (5 each) |
| Sacchi | M | ITA |
| Vleminckx, Koen | m | BEL |
| Coyer | M | FRA |
| Vleminckx, Koen | m | BEL | Dialogue + Training 10 in total (2 exp. x 5) |
| Coyer | M | FRA |
| Jeannerot | M | FRA | High Level Event 15 in total (5 exp. x3) |
| Bevers | M | BEL |
| Marksova | f | CZ |
| Toiu | F | ROM |
| Ortiz | F | SPA |
| Sacchi | M | ITA | High Level Event 30 in total (6exp. x5) |
| Hocquet | M | FRA |
| Kiviniemi | M | SF |
| Kyrieri | M | HE |
| Scholz | M | D |
| Vleminckx, Koen | m | BEL |
| Teresa Quílez | F | SPA | TRAINING COURSE IN SPAIN JUNE-JULY 2016 |
| Graciano Alia | f | SPA |
| Antonio Jesus Argüesn | m | SPA |
| Dolores Cano | f | SPA |
| Thomas Dominique | m | LUX |
| Dolores Ruiz | f | SPA |
| Thomas Filleur | m | FRA |
| Antonella Della Monacha | f | ITA |
| Beatriz Perez | f | SPA |
| Carlos Bravo | m | SPA |
| Ignacio Gutierrez | m | SPA |
| Gracia Castresana | f | SPA |
| Radek Mally | m | CZ |
| Rafael Domenech | m | SPA |
| Paula Roch | f | SPA |
| Carmen Armesto | f | SPA |
| Manuel Velazquez | m | SPA |
| Noami Garcia | f | SPA |
| Jesus Fuertes | m | SPA |
| Margarita Gomez | f | SPA |
| Raquel Herranz | f | SPA |
| Juan Villa | m | SPA |
| Antonio Blazquez | m | SPA |
| Andrew Reilly | m | OECD |
| Laura Molina | f | SPA |
| Michael Englert | m | BEL |
| Ernesto Ortega | m | SPA |
| Jeronimo Gonzalez | m | SPA |
| 2017 | De Lespinay Laurent | m | FRA | Provincial review |
| Tretyak Andrezj | m | FRA |
| Vleminckx Koen | m | BEL |
| Antichi Massimo | m | ITA |
| Pasqua Maria-Teresa | f | ITA |
|  | Lavigne | f | FRA | Parametric reform |
|  | Mazzaferro | m | ITA |
|  | Skorpic/Suchomel | m | CZ |
|  | Birkholz | m | SW |
|  | Steinmeyer | m | D |

Annex 3

Use of national expertise

|  |  |  |  |
| --- | --- | --- | --- |
| **TOPIC** | **NAME** | **OUTPUT** | **TIMING** |
| 1.1.1 Social insurance administration reform | Tan Zhonghe | Assessment report | July 2015 |
| 1.1.1 Input into the XIIIth Five-year Plan | Tan Zhonghe | Assessment report | July 2015 |
| 1.1.3 Monitoring interaction between employment and social security policies | Zheng GongchengZeng XiangquanZhang Juwei | PPtPPtAssessment report | April 2016Apr.&Sept.16Sept.2016 |
| 1.2.2 National policy evaluation technique (SS) | Zheng Gongcheng | PPT, Assessment report | July 2017 |
| 1.2.2 National policy evaluation technique (EMPL.) | Yue Jinglun | PPT, Assessment report | July 2017 |
| 1.2.3 Sustainability of pension schemes (contributions and government subsidies) | Zheng BingwenLiu KeguXiong Jun | Assessment reportNotesPPt | Sept.2016Sept.2016Sept.2016 |
| 1.2.4 Gender considerations (SC) | Lin Yi | Assessment report | November 2017 |
| 1.2.4 Gender considerations (GD) | Yue Jinglun | Assessment report | November 2017 |
| 1.3.1 Pension reform for public sector | Zhang Yinghua | Assessment report | Nov.2015 |
| 1.3.2 Evaluation of the combination of basic pension and Individual accounts | Song Xiaowu | Assessment report | July 2015 |
| 1.3.4 Multi-tiered design of pension systems (public pension, enterprise annuity and individual pension) | Dong Keyong | Assessment report | July 2015 |
| 1.3.6 Parametric reform | Zhou Hong | Assessment reform | October 2017 |
| 1.3.7 Ageing population and possible strategy of dealing with this situation | Pu HaihongDong Keyong | PPtAssessment report | Sept.2016July 2016 |
| 1.4.2 Relationship between social-economic development and the redistribution function of social security | Li ShiHu AngangSong Xiaowu | Assessment reportPPtNotes | August 2016Sept.2016Sept.2016 |
| 1.4.2 Redistribution – Parametric reform | Li Shi | Assessment reportPPt | October 2016 |
| 1.4.3 Strategy of integrating social security system in urban and rural context also through the portability of social insurance | Wang ZeyingJin WeigangYue JinglunLin Yi | Assessment reportNotesPPtPPt | Nov.2015Sept.2016Sept.2016Sept.2016 |
| Main Experts Component 1 | Zhang GuoqingFang Lianquan | OperationsResearch | Since Feb.2015Since Feb.2015 |

Annex 4

Tentative proposals for future cooperation with pilot sites

|  |  |  |  |
| --- | --- | --- | --- |
| **Theme** | **Modality of operation** | **Chinese partner** | **EU Partner** |
| Long-term care : legal, financial and operational management of services for the elderly | * Peer-to-peer exchange visits in China and Europe
 | * Shanghai MDRC
* Municipality of Shanghai
* Xuhui District Social Welfare Institute
 | * Municipality of Marseille (France)
* Municipal Center for Social Action
* Local Family Allowances Fund
 |
| Enhancement of Statistics Management as a tool for decision-making in the field of Social Security | * Internships in Europe
* Short-term technical assistance in China
* Preparation of statistical review to be presented in the framework of an international workshop
 | * Guangdong PDRC
 | * Banque Carrefour de la Sécurité Sociale (Data exchange and warehousing system of the Belgian Social Security)
* French National Old-Age Insurance Fund (CNAV)
* French National Familly Allowances Fund (CNAF)
 |
| Management of social security in a context of workers mobility | * Internship in Europe
* Short-term technical assistance in China
 | * Guangdong PDRC
 | * EU Social Security liaison bodies
 |
| Organisation basic and supplementary health insurance, in coordination with health providers | * Policy dialogue
 | * Guangdong PDRC
* Guangzhou CSI Agency
 | * Regional Health Agency of Lyon Region
 |
| Preparation of teaching material to raise awareness on the importance of solidarity in social protection schemes and entrepreneurship | * Short-term technical assistance in China
* Delivery of teaching sessions in elementary schools
 | * Municipality of Qingyuan
 | * French National Employment Public Service (Entrepreneurship)
* French National Education Mutual Fund (Solidarity in social Protection)
 |

Annex 5

Main outputs and deliverables, 2016

| **Topic #** | **Activity item** | **Input** | **Best practices** | **Output/ Deliverable** | **Final Use** | **Date** |
| --- | --- | --- | --- | --- | --- | --- |
| 1.4.2  | Seminar on Social security in post-crisis societies | EU and CN Experts | Yes | PPts | Preparation HLE | January 2016 |
| 1.1.3 | High level dialogue and International workshop on Employment policy and social security reforms | EU and CN Experts | Yes | PPts, Event’s report | Preparation HLE | April 2016 |
| !.1.1, 1.4.3 | Provincial training on Social security management with special reference to migrant workers | EU and CN Eperts | Yes | PPts, reprot on training course | Capacity building | April 2016 |
| 1.1.1, 1.3.1, 1.3.2, 1.3.4, 1.4.3 | Compendium of draft reform proposals, 2015 | Workshop Conclusions |  | White paper | Capacity building, policy formulation | June 2016 |
| 1.1.1, 1.3.1, 1.3.2, 1.3.4, 1.4.3 | First European Best Practices Report (CN version) | Expert's report | Yes | Report | Briefing of senior managers, capacity building, policy formulation | August 2016 |
| 1.1.3 | Relations between employment and social security policies in Europe | EU ST Expert | Yes | Report, PPT | HLE, policy formulation | August 2016 |
| 1.1.3 | Labour Market and Social security in China | CN ST Expert | Yes | Report, PPT | HLE, policy formulation | October 2016 |
| 1.1.3, 1.2.3, 1.3.7, 1.4.2 | European Country Profiles | Seven ad hoc country reports | Yes | Reports | HLE, policy formulation | September 2016 |
| 1.1.3, 1.2.3, 1.3.7, 1.4.2 | 3rd Panel discussion meeting | CN ST Experts | Yes | Reports, PPTs | HLE | September 2016 |
| 1.1.3, 1.2.3, 1.3.7, 1.4.2 | HLE on Employment promotion and Social security polciies | EU and CN ST Experts | Yes | Report (EN), PPTS | Capacity building, policy formulation | November 2016 |
| 1.2.3 |  Sustainability of pension schemes and financial reform | CN ST Expert | Yes | Report, PPT | HLE, policy formulation | September 2016 |
| 1.3.7 | Training in Spain on Demographic ageing | CN ST Expert, EU ST Experts | Yes | Report, training materials | Capacity building, policy formulation | September 2016 |
| 1.3.7 | Demographic Ageing and Social security | CN ST Expert | Yes | Report | Capacity building, policy formulation | October 2016 |
| 1.4.2 | Redistributive effects of Social security  | CN ST Expert | Yes | Report | Capacity building, policy formulation | September 2016 |
| 1.1.3, 1.2.3, 1.3.7, 1.4.2 | Compendium of draft reform proposals, 2016 | Experts Reports |  | White paper | Policy formulation | November 2016 |

 Annex 6

Utilization of Human resources, 2016

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Years 1 to 4** | **Used Y.1** | **Budget Year 2** |  | **Total**  | **Balance Yr2** | **USED 1 JAN. – 31 DEC. 2016** |
| 1193 | 248\* | 370 | C1 Chinese expert staff | 346 | 24 |    |
|   | (91) | *120* | *Main Chinese expert 1 Zhang Guoqing* | *120* | *0* | Contract since Feb2016 - Monthly |
|   | (55) | *75* | *Main Chinese expert 2 Fang Lianquan* | *75* | *0* | Contract since Feb.2016 - Monthly |
|   |   |   | CN EXPERT AGEING | *25* |   | *AR\* 1.3.7 Demographic Ageing Dong Keyong* |
|   |   |   | CN EXPERT EMPLOYMENT | *25* |   | *AR\* 1.1.3 Employment & Social security Zhang Juwei* |
|   |   |   | CN EXPERT EVALUATION | *30* |   | *AR\* 1.2.3 Affordability & Sustainability Zheng Bingwen* |
|   |   |   | CN EXPERT REDISTRIBUTION | *25* |   | *AR\* 1.4.2 (Eco.dev. & Redistribution) Li Shi* |
|   |   |   | 2 CN EXPERTS INTERN.WKSHOP EMP&SS | *10* |   | *Zheng Gongcheng, Zeng Xiangquan* |
|   |   |   | 3 HLE CN CO-CHAIRS | *11* |   | *Jin Weigang, Song Xiaowu, Liu Kegu* |
|   |   |   | 5 HLE CN KEYNOTE SPEAKERS | *25* |   | *Hu Angang, Zeng Xiangquan, Yue Jinglun, Lin Yi, Xiong Jun* |
|   | (240) | *175* | *Total other C1 CN experts* | *151* | *24* |   |
|   | 54 | *120* | *Component assistant Xu Chenjia* | *84* | *0* |  Contract Feb. to Sept. 2016 - Monthly |
|   |   |  | *Component assistant Li Xingli* | *30* | *6* | Contract since Oct. 2016, monthly |
|   | 216 | 200 | Resident expert C1 JV Gruat | *200* | *0* |  |
|  |  |  | 4 EU Experts Intern.Workshop | 20 |   | Gauvin, Sacchi, Vlemickx, Coyer |
|   |   |   | 2 EU Experts Provincial training | 10 |   | Coyer, Vleminckx |
|   |   |   | 5 HLE EU CO-CHAIRS | 15 |   | Jeannerot, Bevers, Marksova, Toiu, Ortiz |
|   |   |   | 6 HLE EU KEYNOTE SPEAKERS | 30 |   | Sacchi, Hocquet, Kiviniemi, Kyrieri, Scholz, Vleminckx |
|   |   |   | EXPERTS TRAINING SPAIN | 21 |   | Dominique, Pamies Sumner, Delle Monache, Laurent, Merz, Peglow, Reilly |
|   |   |   | EXPERTS VISIT BELGIUM | 12 |   | Sutherland, Rastrigina, Llena-Lozal, Dolls |
| 646 | 30 | 185 | Total EU ST Experts | 108 | 77 | \* AR = Assessment report |
|  |  | 1 | Research EU Employment & Social Security | 1 | 0 | EN3S (Hocquet) |

\* Data of days worked between Year 1 and subsequent years for Chinese experts are not comparable, because of changes in unit costs

Annex 7

Proposed used of human resources, 2017

|  |  |  |
| --- | --- | --- |
| w/d total |   | Activities |
| Proj. | Y.1&2 | Y. 3 |   |   |
| 1193 | 248\*+346 | 394 | C1 Chinese expert staff |   |
|   | (91)+120 | 120 | Main Chinese expert 1 Zhang Guoqing |   |
|   | (55)+75 | 84 | Main Chinese expert 2 Fang Lianquan |  \*AR= Assessment Report |
|   |   | 45 | CN EXPERT PARAMETRIC REFORM | AR\* 1.3.6 and related (Parametric reform) |
|   |   | 25 | CN EXPERT EVALUATION SOCIAL SECURITY | AR\* 1.2.2 Evaluation techniques |
|   |   | 25 | CN EXPERT EVALUATION EMPLOYMENT | AR\* 1.2.2 Evaluation techniques  |
|   |   | 45 | CN EXPERT REDISTRIBUTION | Second AR\* 1.4.2 (Eco.dev.& Redistribution-link with Parametric reform) |
|   |   | 25 | CN EXPERT GENDER SICHUAN | AR\* 1.2.4 Gender considerations |
|   |   | 25 | CN EXPERT GENDER GUANGDONG | AR\* 1.2.4 Gender considerations |
|   | (240)+151 | 190 | Total other C1 CN experts |   |
|   | 120 | 120 | Component assistant |  Half time |
|   | 416 | 200 | Resident expert C1 JV Gruat |   |
| 646 | 215 | 219 | C1 EU Public sector staff+Subcontracting |   |
|   |   | 50 | EU Experts Parametric reform | 10 EU experts for country profiles Parametric reform |
|   |   | 15 | EU Experts Provincial activities | 3 EU Experts for Provincial activities (1.8.1, 1.8.2) |
|   |   | 18 | EU Experts Training in Germany | 6 lecturers from Consortium countries |
|   |   | 80 | EU Subcontracting Training in Germany | 8 German sub-contracted experts for 10 w/d each |
|   |   | 16 | EU Subcontract Study vis.Finland-Sweden  | 2 subcontract.experts, 1 each SF & SW, 8 w/d each |
|   |   | 40 | EU Experts Redistribution | 8 Experts, Intern.Wkshop on Redistribution & Parametric reform |
|   |   | 123 | Sub-Total 1 EU Public sector staff |   |
|  |  | 96 | Sub-Total 2 Subcontracted |  |
|   |   |   | Research SS Gender considerations in EU | EU Research 1.2.4 (Gender) |

\* Data of days worked between Year 1 and subsequent years for Chinese experts are not comparable, because of changes in unit costs

Annex 8

Tentative work plan until February 2018

(SEE IN ANNEXED EXCEL FILE)

Annex 9

Revised GANTT – Component 1

(SEE IN ANNEXED EXCEL FILE)