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EU-China Social Protection Reform Project

Component 1: Support to strengthen NDRC institutional capacity

for the development and implementation of Social Protection reforms

Ninth Six-month Component Status Report

17 November 2018 – 16 May 2019

Report submitted by EXPERTISE FRANCE,

Component 1 Coordinator

(First draft)



May 2019

TA to improve and develop the Social

# **Introduction**

This ninth Component 1 Status report presents component-related activities under the six-month period starting from 17 November 2018, representing the first half of the one-year project extension period beyond its originally anticipated termination date.

During the period under review, the project component C1 completed its cycle of activities for 2018, and worked on the design, adoption and initial implementation of the programme of its activities for the extension period.

At the same time, the C1 team actively prepared for the closure of project activities, including its final event tentatively scheduled for the second half of September 2019. Owing to budgetary and logistical constraints, it was announced during the PAC meeting that endorsed the proposed programme of activities under project extension period that all related technical works should be completed before August 2019, a time when the project office in Beijing would be closed and the contract of most of its staff interrupted.

Component 1 programme of activities for 2019 had therefore to take these constraints into account and presents some differences with the schedule implemented over previous years. It was in particular considered unrealistic to try and accommodate over such a shorter time frame a fully-fledged two-weeks training course abroad, that would have required a considerable amount of time and efforts for its preparation.

Instead, the programme includes two oversea visits for high level NDRC officials, allowing for a full round of discussion with most strategic C1 European partners, namely the EU Commission and Expertise France. Further, the dialogue and study visits to be implemented during project extension under C1 programme of activities will expand the range of European countries visited by NDRC, with the addition of Romania, Lithuania and Portugal.

The involvement of provincial entities in project activities be it as Pilot or otherwise has been constantly in the mainstream of project activities since 2015. The programme of activities therefore included an ad hoc Round table meeting devoted on Provincial activities to be held as a concluding Component event in June 2019 in Tianjin, thus responding to one of the suggestions of the project mid-term evaluation team.

Finally, it is my special pleasure to report that, on the occasion of the last international workshop under C1 programmes of activities, to be held in Rome on 27 May 2019, our Component will count on an exceptionally rich contribution by international organizations, with speakers coming from the ILO, the OECD, the International social security Association and the International Association from Mutual benefits finds. This, in my view, testifies to the importance acquired and the interest generated by our Component over the five years of its operation.

Laurent de Lespinay,

Coordinator Component 1,

16 May 2019.

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**Relevant Acronyms**

|  |  |
| --- | --- |
| Acronym | Description |
| ACFTU | All-China Federation of Trade Unions |
| ACWF | All-China Women's Federation |
| CASS | China Academy of Social Sciences |
| CISS | Centre for International Social Security Studies |
| CRAPS | Comité de réflexion sur l’avenir de la protection sociale – French think-tank on the future of social protection |
| EN3S | École Nationale Supérieure de Sécurité Sociale (French National School of Higher Social Security Studies) |
| EU | European Union |
| EU MS | European Member State |
| EUD | European Union Delegation |
| GANTT | A Gantt Chart is a type of bar chart illustrating a project schedule |
| HLE | High Level Event |
| ICC | International cooperation centre of the NDRC |
| ILO | International Labour Organization |
| IMC | Internal Management Committee |
| INPS | Istituto Nazionale Previdenza Sociale, Italian National Social Security Institute |
| ISSA | International Social Security Association |
| MoCA | Ministry of Civil Affairs |
| MoF | Ministry of Finance |
| MOFCOM | Ministry of Commerce  |
| MoHRSS | Ministry of Human Resources and Social Security |
| NDRC | National Development and Reform Commission |
| NGO | Non-Governmental Organization |
| OECD | Organization for Economic Co-operation and Development  |
| PAC | Project Advisory Committee |
| ROM | Results oriented monitoring mission |
| SAFEA | State Administration of Foreign Experts Affairs |
| SIDCA | State International Development Cooperation Agency |
| SOCIEUX | Social Protection European Union Expertise in development cooperation  |
| TFCS | Project Task Force on Technical Coherence and Synergies |
| UNDP | United Nations Development Programme |
| UNECE | United Nations Economic Commission for Europe |

# **Background Information and General Considerations**

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This report describes delivery of activities under the Component 1 - Support to strengthen NDRC institutional capacity for the development and implementation of Social Protection reforms - of the EU-China Social Protection Reform Project over the period 17 November 2018 – 16 May 2019 (ninth six-month period of implementation). The Grant establishing the project was signed on 17 November 2014 and extended for one year on 17 November 2018.

Results pursued

Component 1 of the project has specifically to pursue 4 of its altogether 11 identified results - results R2 to R5 inclusive -, namely:

- Improved interagency cooperation in social protection reform (R2);

- Enhanced capacity in policy development, implementation and evaluation (R3);

- Strengthening the interface of the various pension schemes towards full coverage in old-age (R4); and,

- Reform efforts in response to urbanization trends, concerning notably basic protection and portability of rights (R5).

NDRC is the main stakeholder and beneficiary for Component 1 activities.

All of the above-mentioned results were actively pursued over project life. Project extension mostly focuses on result R2, through its contribution to the five-year planning process which is one of the core responsibilities of NDRC.

Annex 1 provides a self-evaluation of progress made in terms of results achieved and envisaged by C1 component of the project. Annex 2 establishes the relevance of C1 activities for pursuing the results and associated indicators assigned to the Component.

Topics to be considered

The initially 18 technical topics envisaged for possible review under the project working methodology (analysis and assessment of the situation, confrontation with most relevant European best practices, formulation and adoption of reform proposals by the Chinese side) have all been explored at the time of writing this report[[1]](#footnote-1). Additionally, the evolution in national priorities led to the addition of new topics to the initial list that were subsequently endorsed by the PAC in 2016 and 2017. Table 1 below presents the topics selected for implementation under C1 since 2015 activity plan.

**Table 1 – Consolidated list of topics, component 1**

|  |  |  |
| --- | --- | --- |
|  | **Topic** | **Observations** |
| **R2** | 1.1.1 | Contribution to five-year socio and economic development plans | Reformulated 2015, 2019 |
| 1.1.2 | Coordination of policy making among government agencies in areas related to social protection reform | On-going |
| 1.1.3 | Monitoring interaction between employment promotion and social protection policies | Introduced 2016 – 2018: Quality development. |
| **R3** | 1.2.1 | Relationship of pension benefit with minimum social wage | Merged with 1.3.6 |
| 1.2.2 | National policy evaluation technique in the area of social protection (indicators, methods and programs) | 2017 |
| 1.2.3 | Affordability of Pension schemes (contributions and Government subsidies | Introduced 2016 |
| 1.2.4 | Gender considerations in pension schemes | Introduced 2017. 2019: European experience |
| **R4** | 1.3.1 | Pension reform for public sectors | 2015 |
| 1.3.2 | Social pooling of the basic pension component – Evaluation of the combination between basic pension and individual accounts | Reformulated 2015 |
| 1.3.3 | Universal social pension models | 2018 – National feasibility study |
| 1.3.4 | Multi-tiered design of pension systems (public pension, enterprise annuity and individual pension) | 2015 – 2018: Pension reform proposal |
| 1.3.5 | Occupational pension plans for public sectors and private pension plans | 2018 – Angle Income redistribution tools |
| 1.3.6 | Parametric reforms of pension system (Vesting, indexation, adjustment mechanisms of pension benefit, benefit formula etc.) | Reformulated 2017 – Merged with 1.2.1, 1.3.9, 1.4.1) |
| 1.3.7 | Ageing population and possible strategy of dealing with this situation | 2016. 2018: AAI |
| 1.3.8 | Issue related to the informal sector integration in social security schemes | 2018 – Angle Population mobility |
| 1.3.9 | NDC (notional defined contribution) pension reform  | Merged with 1.3.6 |
| **R5** | 1.4.1 | Improvement of the individual account component in public pension system for urban workers | Merged with 1.3.6 |
| 1.4.2 | Relationship between social-economic development and the redistribution function of social security | 2016 – Ctd 2017 |
| 1.4.3 | Strategy of integrating social security system in urban and rural context also through the portability of social insurances | 2015 |

|  |  |
| --- | --- |
|  | Topic considered in 2018 |
|  | Topic to be considered during project extension |
|  | Topic considered both in 2018 and 2019 |

European best practices

The project Component 1 benefits from exposure to European best practices via two main channels, one being direct exposure to outside realities through overseas capacity building, dialogue and study visits, and the other exchanges with European experts on topic of interest for the Chinese social security reform process.

Under Component 1 auspices, overseas activities were already conducted in Belgium, the Czech Republic, Denmark, Finland, France, Germany, Italy, Poland, Spain and Sweden. As part of 2019 programme of activities, overseas visits were or will be conducted in Belgium, France, Italy, Lithuania, Portugal, Romania and Spain.

Table 2 below provides a summary of overseas activities conducted under C1 programmes to date.

Table 2 – Venues for overseas activities, C1

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | 2015 | 2016 | 2017 | 2018  | 2019 |
| International workshops | Belgium1 | (China)4 | France8 | Belgium 14 | Italy17 |
| Dialogue & Study visits | Spain,Poland,Czech republic2 | FranceBelgium5France, Czech Republic6 | Sweden, Finland910 | Denmark, Poland,Belgium 15 | FranceLithuaniaRomania18 |
| High level training | France3 | Spain7 | Germany11 | Italy 16 | BelgiumItalyPortugal19 |
| Other |  |  | Italy, Spain, Belgium – EC 12Italy 13 |  | France, Spain 20 |
| Topics for overseas activities (name of non-consortium countries is highlighted)1 Reform of public pension schemes 2 Social protection and employment of migrant workers 3 Multi-tier pension systems 4 Relations between employment promotion and social security reform 5 Influence of Employment and Social Security Policies on Income Distribution in Post-Crisis Era 6 Provincial study visit on general administration and sustainability of social security and migrant workers vesting rights 7 Challenges posed to social security, notably pensions protection, by demographic ageing 8 Income redistribution and parametric reform – Pension schemes 9 Income redistribution through social security 10 A study visit to Romania on Gender issues in Social protection initially foreseen for 2017 had to be postponed because of conflicting commitments11 Evaluation of employment and social security policies, including relevance for social needs 12 Official visit to review employment and social security reforms, discuss prospects for future cooperation 13 Coordination meeting & RT discussion, ALMP 14High-quality development and capacity building for labour market in Europe and in China 15 High-quality development and workforce quality improvement 16  The influence on income redistribution of changes in economic and industrial structure 17 Planning for social protection18 Evaluation of Employment and Social protection policies, including a focus on gender issues19Multi-tier social protection 20 Provincial dialogue and study visit for GD representatives on social security modern management techniques |

During activities conducted since the beginning of the project, including the period covered by the present report, Chinese beneficiaries could interact with some 160 experts and officials coming from seventeen European countries, including representatives from twelve international organizations or projects. Details on the use of European and international expertise are to be found in Annex 3.

National expertise

The project methodology relies on expertise from Chinese experts to provide assessment of topics under consideration and submit related draft reform proposals for further discussion among Chinese stakeholders, in view notably of the matching most relevant European and international experiences. Since the beginning of the project, 14 technical topics were or are being explored under project auspices, mobilizing 31 high-level Chinese experts. Details on the use of national expertise are provided in annex 4 of this Report.

Human resources

The contract of the EU Resident expert for Component 1, Mr Jean-Victor Gruat (Expertise France) took effect on 20 December 2014. Within the project Beijing office, Ms Wang Qingqing acted as assistant for Component 1 from August to December 2015. Ms. Xu Chenjia has been working as C1 Component assistant from February to September 2016, and Ms Li Xingli from 1 October 2016 to 31 January 2018. Ms. Shi Chuan has been appointed as C1 Component assistant from 1 February 2018. Mr Zhang Guoqing and Mr Fang Lianquan act as Main Chinese experts for the project Component 1 since April 2015 – respectively Main expert Operations and Main expert Research.

**Task force on Coherence and Synergies**

During its meeting on 6 February 2018, the PAC expressed the desire that project activities be better coordinated among components, so as to ensure internal coherence and identification of potential for synergies. The Project leader therefore decided to establish within the project office in Beijing a Task force on Technical coherence and synergies, which took effect on 20 April 2018 after being approved by the EUD.

Owing to the pivotal role played by Component 1 and its main Chinese stakeholder, the National Development and Reform Commission NDRC, in promoting integrated approaches to social protection notably through their contribution to the national economic and social development planning process, the Task force was placed under the responsibility of the EU Resident Expert for Component One, acting as its convener.

Based in Beijing, the Task force includes members from each of the three project components as well as from the Project office. The assistant for Component 1 acts as Secretary to the Task force.

The Task force reports directly to the Project leader. Work progress can be monitored from its dedicated webpage within the project website which includes the Memorabilia[[2]](#footnote-2), in fact a compendium of all activities conducted by each of the three components (English only).

# **Activities**

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The current chapter presents a summary of activities conducted during the period under review as far as Component 1 is concerned – as well as preparatory work already conducted for activities ahead. The presentation follows the structure of the Plans adopted by the Project advisory committee, meeting on 6 February 2018 and 23 January 2019.

For activities conducted earlier in 2018, kindly refer to the 8th Component status report

Finalizing 2018 Activities

The Programme of activities assigned to the component through its work-plan for 2018 as adopted by the PAC meeting on 6 February 2018 was duly completed during the period usually assigned for yearly activities within the Chinese context, i.e. the New Year eve for subsequent year[[3]](#footnote-3) - with the exception of a Provincial dialogue and study visit that had to be postponed.

Annex 5 provides the list of outputs and deliverables resulting from activities conducted under Component 1 programme for the year 2018.

### Provincial activities

Activities developed with Guangdong Provincial Development and Reform Commission and Huizhou Municipality[[4]](#footnote-4) correspond to the Enhancement of Statistics Management, as a tool for decision-making in the field of Social Security, and to Awareness raising on the importance of Social protection.

The mission was conducted by experts from Expertise France for statistics and management – Andrei Tretyak, Head of Social protection Unit, Expertise France; Michel Villac, Vice – President, French National High Council for Family, Childhood and Age HCFEA - and the MGEN - VYV Group for Awareness raising - Thierry Weishaupt, China Operation General Manager, Groupe VYV and Romain Chave, Project manager, International Department, National Teachers’ Health Fund MGEN France. The mission took place between 17 and 21 December 2018 with participation by NDRC, its ICC and the Component project team from Beijing.

Mission members first met with Guangdong province authorities, introduction on Guangdong social security administration, and further delineating the topic on awareness raising. Proceeding to Huizhou city, the mission held a meeting with municipal institutions and HR departments from local enterprises to discuss related issues on statistics, social security management and social protection awareness raising. On-site visit to local enterprises followed. The mission then conducted a training program on social security awareness raising for local companies, small business and migrant workers plus social protection agencies. Returning to Guangdong, the mission met with GD province authorities to discuss EU experience in social protection administration and data management followed by field visiting to one of Guangzhou city social security administration agency. A Training program on technology support, EU experience and discussion on Guangdong province related issues concluding the mission which should have follow up activities during the course of the year.

### Gender

The International workshop on Gender issues on Employment and Social protection took place in the Provincial DRC premises. Some 20 participants took part in the meeting, including Provincial and municipal representatives of the DRC and of the department of Human resources and social security. Reports were presented by European expert Ms. Yasmina Lakmad, and national experts prof. Ngok Kinglun aka Yue Jinglun (Sun Yat Sen University Guangzhou) and prof. Lin Yi (South-West University of Finance and Economics, Chengdu). The meeting was chaired by Mr. Jean-Victor Gruat, Resident expert for SPRP project Component 1.

Opening remarks were delivered by Mr. Laurent de Lespinay, European project coordinator for Component 1, Expertise France, and by Mr. Liu Jinfeng, vice-director, Sichuan Provincial Development and Reform Commission.

Ms. Yasmina Lakmad, European specialist, then presented the main concerns with gender equality in employment and social protection in the European experience, before prof. Ngok and prof. Lin introduced their respective findings concerning the situation in Guangdong and Sichuan provinces.

In the discussion that followed, Mr. Ceng Zhigang, from the Provincial HRSS Department, described in more details the situation in Sichuan concerning gender equality and inequalities in the field of employment. The three experts entered into a dialogue about their respective contributions.

The Chair then summarized the technical conclusions of the meeting (see annex 6), before Ms. Tang Ling, national Component 1 project coordinator for NDRC, delivered the closing statement for a meeting highly appreciated by all participants, as reported to Mr. Fan Bo, Director of Sichuan Provincial development and reform commission, who came to visit them for a direct briefing.

Work Plan for 2019

### Component researches

A Panel discussion meeting will be held on 21 May 2019 in Beijing to discuss the preliminary findings of the 3 Chinese experts entrusted with the responsibility of conducting research under the core C1 programme of activities for 2019, namely:

* Mr Song Xiaowu – China Income distribution institute - to review progress made in social security reform at the mid-point of the XIIIth Five-year Plan and to submit proposals for inclusion in the corresponding part of the forthcoming XIVth Five-year Plan;
* Prof. Yang Weiguo– Renmin University - to conduct the same exercise concerning Employment promotion;
* Ms Zhou Hong - CASS - to review experience in multi-pillar social protection.

All three reports are under finalisation at the time of writing this report.

In collaboration with CASS, an ad hoc seminar will eb organised on 12 June 2019 on the prospects for universal coverage under pension schemes both in China and in Europe, following upon the Assessment report produced by Mr. Qi Chuanjun in 2018[[5]](#footnote-5).

### Overseas activities

##### 2.2.2.1 International Workshop

An international workshop around the topic of Planning for Employment promotion and social protection will be held in Rome on 27 May 2019. Participation will include Chinese and European experts and national officials, as well as representatives from international organisations such as the ILO, OECD, the ISSA and the AIM.

##### 2.2.2.2 Training in the form of Dialogue and Study visit

Immediately before and after the workshop, a delegation from NDRC high level officials will take part in a Policy dialogue and Study visit on Planning for Social protection and Employment promotion. The visit would last for a period of ten days, and be conducted in 3 Countries – Belgium, Italy and Portugal – between 23 May and 1 June 2019. In Belgium, the delegation will hold a 1 ½ day dialogue with the European Commission on the future of social protection. Experts Yang, Zhou and Guo (on behalf of Mr. Song) will accompany the delegation in Belgium and Italy.

##### 2.2.2.3 Three-countries Dialogue and Study visit

A high level NDRC delegation will visit France, Lithuania and Romania for a ten-days dialogue and study visit between 19 and 28 June around the topic of Evaluation of Employment and Social protection policies, including a focus on gender issues. Experts Fang Lianquan –social protection policies – and Yue Jinglun – Employment policies and gender considerations – will accompany the delegation in France and Lithuania. In Paris, discussions will be held on future bilateral cooperation with France in the area of Employment and social protection.

### Pilot Sites

##### 2.2.3.1 Shanghai

As a follow up on activities already conducted with Shanghai under topic 1.3.7 Issues related to dependency insurance, it was proposed to call again on the Shanghai Academy of Development and Reform requesting an update on their on-going pilot experiment. While a study visit to France and Spain could not be organized as initially planned because of other Provincial commitments, the update of the situation will be presented during the Provincial Round table meeting in June 2019 (see below, 2.2.3.4)

##### 2.2.3.2 Guangdong

As part of the SPRP project plan of activities 2019, it was agreed by the PAC meeting on 23 January 2019 that a provincial study visit on management of social security to be organized for Guangdong representatives around the topic of social security governance. This visit was matching the recommendations emerging from the March 2017 Planning mission to Pilot sites[[6]](#footnote-6). It took place in Spain and in France between 21 and 27 April 2019.

In Spain, the delegation first visited Ministry of Labour, Migration and Social Security (MITRAMISS) where presentations on respective Spanish and Guangdong situations in the labour and social fields.

The delegation then visited the State Social Security Computing Management Center to familiarize themselves with the Spanish Computer System in the Field of Social Security. Followed a presentation of the RED System introducing the Spanish Contribution Collection System and the Direct Settlement Plan.

In France, the delegation first visited the National School of Higher Education in Social Security (EN3S) to benefit from an overview of French Social Protection System and Its Governance Modes and Planning Functions, the general situation of social security on Pension, Medical Insurance, Work Injury and Occupational Diseases, and Family Allowance. The delegation then visited the National Fund for Family Allowance (CNAF), where was discussed the use of Monitoring and Evaluation as a Tool to Fight Poverty and Better Target People at Risk. When visiting the Pension Advisory Council (COR), the Delegation was appraised of the fact that the Council is organized with 8 delegates from Parliament, 16 from the trade unions, 2 representing the retirees, 7 from government agencies and 6 professional experts. The COR is an independent institute to provide the government with different consultation research reports, and to organize conferences, workshops, seminars on financial sustainability, ageing issues, pension reform and forecasting. The organization was involved in the drafting of 2014 pension reform plan. The Delegation also visited the National Institute of Statistics and Economic Studies INSEE, which is in charge of designing of statistics apparatus ensuring its consistence and collecting processing and disseminating such statistics in the field of social society. The Institute briefed the delegation about the Tax and Social Income Survey (ERFS) matching the employment survey with administration data (tax returns and data on social benefits). ERFS is used to compute the official figures on standard of living, poverty rate and inequalities. Finally, a session was devoted to how to use of data in complementary social protection with a focus on data protection issues.

#####  2.2.3.3 Sichuan

In addition to hosting the International seminar on Gender issues in Social protection (see above, 2.1.2) Sichuan Pilot will introduce its experience with the merging of urban and rural social protection and employment promotion mechanisms at the Pilot and Provincial Round table Meeting to be held in Tianjin on 13 and 14 June 2019.

##### 2.2.3.4 Tianjin Round Table meeting

An end-of-project summing up round table meeting for Pilot and other provincial representatives will be organised in Tianjin on 13 and 4 June to confirm that past activities were indeed positive for the work orientation and efficiency of beneficiaries outside NDRC HQ, and NDRC HQ and discuss the potential for continued collaboration involving European partners.

The activity will include three components, namely:

- a meeting with 4 components, a 30 minutes presentation (key note address) on the future of social protection in Europe and in China by respectively Mr. Koen Vleminckx and Mr. Song Xiaowu; presentations by each of the 3 pilots on their respective experience; presentation by project C3-MOCA on their works under the project; interaction with the audience. This would last for half day plus a little bit of a second half day.

- for the remaining part of the half day, training by Mr. Weishaupt and his team (him, Mr. Chave and their Chinese assistant) on awareness raising in social protection.

- for another half day, field visit in Tianjin.

NDRC would take care of organisation, including for simultaneous interpretation.

## Component Management

Annex 7 summarises the use to be made of human resources under the framework of Component 1 during the year 2019.

## Deliverables and Outputs

Annex 8 presents the outputs and deliverables from C1 component for the year 2019, which fully corresponds to the Component activity plan.

# **Planning for next period (17 May – 16 November 2019)**

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The next and final six months period of the project will embrace the dates between 17 May and 16 November 2019. Technical activities will normally be completed by August 2019, a time when the Project office will be closed. The final project event is planned to be held during the second half of September 2019. The remaining time will be devoted by the project management to completing required administrative and financial tasks.

The revised work plan and Gantt Chart, reproduced as annexes 9 and 10, provide the anticipated evolution as far as C1 is concerned for the rest of the year 2019.

v.1, 16 May 2018.

# ANNEXES

 Annex 1

**Pursuance of project results, C1**

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**Component 1**

**SELF-EVALUATION**

**ACHIEVING PROJECT RESULTS**

In addition to result R.1, common to all three components, Component 1 was assigned results R.2 to R.5 in the Project Grant application form. The following detail, for each result, how activities conducted contributed to its achievement or prospective achievement by mid-November 2018 and, as the case may be, how activities proposed through an extension into 2019 would help improve upon the situation. The attached table summarizes the findings of this self-evaluation.

**Result R.1 - Mechanisms for EU-China high level policy dialogue on social protection reform is established and partnerships between Specialized Public Bodies of EU Member States and the National Development and Reform Commission (NDRC), the Ministry of Finance (MoF) and the Ministry of Civil Affairs (MoCA) on social protection are developed**

**Indicators:**

* High level conferences attract attention of the technical specialists and of the media

Status: Achieved – C1 High level event conducted in 2016

* Mechanisms for further high-level dialogue on social protection policy development, financial management and social assistance after the end of the project agreed;

Status: Partially achieved. MoUs and similar agreements expected to be signed before mid-2016 with EUD and Spain, mid-term cooperation programme with pilot sites was established **but (2019) require monitoring at first stages of implementation (see also R.5)**

* Dedicated Project Website updated every month;

Status: Achieved. All C1 works of substance are available from project website in bilingual version

* 2 Electronic Newsletters a year;

Status: Not C1-related. Quarterly component newsletter since 2016

* List of users registered to the Electronic Directory of Contacts is widespread among the participants each quarter;

Status: Achieved for C1

* Cooperative work between EU and national stakeholders in social protection is documented

Status: Achieved for C1

- A Task Force to ensure coherence and synergies in project intervention is established, which contributes to enhancing the level of policy dialogue on social protection reform between the EU and China

Status: Achieved. The TF is operational and working. Its works are documented on the project website

**Result R2. Under the leadership of NDRC, coordination of policy making among government agencies in areas related to social protection reform is strengthened.**

**Indicators:**

* NDRC proposals on social protection reform for inclusion in the Five-years Plan are shared with other stakeholders and taken as a basis for discussion in preparatory works;

Status: Partially achieved. 2015 Component based activities helped improve the quality of NDRC technical contribution to the contents of the XIIIth 5-year Plan (2016-2020) as far as social protection reform was concerned. **But** **(2019) works on technical aspects of the proposed reform in social protection and employment promotion did not allow for substantive improvements towards sustainably upgrading NDRC capacities for leadership in planning for reform in these areas. Since NDRC leadership role is intrinsically linked to the 5-years planning cycle proposals put forward for implementation in 2019 relate to that core function. Be it for research, training or policy dialogue, envisaged activities focus on the role of a specialised Government agency in planning for social protection and employment reform and having its views shared with and accepted by the Government and the social partners. Activities will be conducted with selected European countries known for their planning capacities in social protection like France, Belgium, Spain, the Czech Republic. In China, works will focus on the evaluation of achievements under XIIIth 5-years Plan passed its mid-term point, and the preparation for the XIVth Plan 2021-2025 (employment and social protection)**

* A comprehensive proposal for social administration systems reform is elaborated and disseminated to interested stakeholders under project auspices.

Status: Substantially achieved. Comprehensive administrative reform proposal was already elaborated and documented in 2015 and practical implementation of selected aspects will be tested before November 2018 **but there were delays for this activity which will require (2019) more time for full implementation and consideration for further dissemination at the local level.**

* Analysis and proposals developed under project auspices are made known to a broad audience, nationally and internationally

Status: Achieved. Component works are quite known and appreciated in competent circles. Renowned Chinese experts appreciate collaborating with Component One, and overseas fora where activities are presented benefit from high level European expertise.

**Result R.3 Capacity of NDRC in policy development and implementation, notably establishing and enforcing a national policy evaluation technique in the area of social protection, is enhanced.**

**Indicators:**

* A national policy evaluation technique in the area of social protection is developed and tested under the auspices of the project;

Status: Achieved. This policy was developed and tested as part of 2017 C1 activities

* At least two-thirds of local officers involved in the training in China and in Europe demonstrate a high level of approval of the training activities;

Status: Achieved. The registered level of approval for training activities was constantly above the threshold

* Clear goals are assigned to the pension system in terms of replacement of past income and minimum living standards

Status: Achieved. This was documented under 2017 Component One programme of activities

- A methodology to assess gender implications of proposed social protection reforms is developed

Status: Will be achieved by mid-November 2018. National assessment was conducted in 2017 at provincial level, an international forum will confront national findings with European experience during the months of October/November 2018

* Interrelation between social protection and employment policies is documented and used for evaluation purposes

Status: Achieved. This topic was on the programme of activities for Component One for the years 2016, 2017, 2018

* Memoranda of understanding or equivalent instruments are discussed and concluded between NDRC and European partners, to use as a basis for continued cooperation in social protection

Status: Substantially achieved. MoUs or other cooperation instruments are to be signed before mid-November 2018 between NDRC and the EC DGV and the Government of Spain. **But (2019) more instruments could be concluded under an extension period notably with France and Belgium and it would be useful if the project could help in the start of implementation for the already concluded agreement, as it helped in their practical discussion.**

**Result R4. National policy framework for a full coverage of old-age insurance system throughout China is consolidated by strengthening the interface of various schemes, permission funding pooling, old-age insurance scheme for civil servants/the employee of public agencies and the existing multi-layer pension system**

**Indicators:**

* A comprehensive model for multitier design of the pension system is developed under project auspices;

Status: Achieved. A first model was developed already in 2015. In 2018, a comprehensive reform proposal was developed by the project team on the basis of previous project technical works, subsequently presented and discussed on public meetings and across bilateral contacts.

* A comprehensive policy for developing a universal social pension model is developed and disseminated under project auspices;

Status: Will be achieved by mid-November 2018. A review of selected European experiences in universal pensions was conducted in 2017. A seminar to be held in October-November 2018 with the Chinese academy of Social sciences CASS will be devoted to the feasibility of Universal pensions under Chinese circumstances.

* An analysis of the consequences of demographic ageing on the pension system is conducted and discussed under project auspices.

Status: Achieved. This topic was addressed under Component One programme of activities for 2016. It was again debated in 2017 under aspects linked to redistribution and parametric reform of pension schemes and will be further discussed in September 2018 under the framework of the project special event on Ageing.

**Result R5. Reform efforts in response to urbanization trends, in particular the harmonization/integration of the various basic social protection systems for different groups of beneficiaries, the portability of social insurances and better suited assistance schemes are supported**

**Indicators:**

* Model provisions for totalization and vesting of pension rights across regions are developed and piloted in selected places

Status: Achieved. Model provisions were detailed already in 2015. They were explained in detail in 2016 during a provincial training seminar in Guangdong Province. Since the inception of the project, substantive progress was made in vesting provisions in a number of provinces

* An analysis of the interrelation between social and economic development and the suitability and sustainability of pension schemes is developed and used for macro projections to support systemic reform proposals.

Status: Achieved. First project C1 activities around this topic were conducted in 2016, and subsequently deepened in 2017 and 2018.

* Proposals for bilateral Europe- China cooperation at the decentralized level are developed and start being implemented in response to the growing urbanization trend and labour mobility across the country

Status: Achieved. Proposals for implementation in Shanghai and Guangdong Province (pilot locations) were developed in 2017 and submitted to NDRC and concerned local authorities, for initial implementation before mid-November 2018. Proposals cover the need for extended social protection in Shanghai in response to ageing urban population, and in Guangdong the required improvements in governance as well as sensitization to social protection coverage of newly salaried strata of the active population.

JV Gruat, 5 September 2018 v.1

Annex 2

C1 – Relevance of activities

| **RESULTS** | **INDICATORS** | **C1 ACTIVITIES** | **OBSERVATIONS** |
| --- | --- | --- | --- |
| **R1.** Mechanisms for EU-China high level policy dialogue on social protection reform is established and partnerships between Specialized Public Bodies of EU Member States and the National Development and Reform Commission (NDRC), the Ministry of Finance (MoF) and the Ministry of Civil Affairs (MoCA) on social protection are developed | 1-    High level conferences attract attention of the technical specialists and of the media | 2016 HLE |   |
| 2-    Mechanisms for further high-level dialogue on social protection policy development, financial management and social assistance after the end of the project agreed; | MoU signed with Spain; Exchange of letters with EU DG Employment, Social Affairs & Inclusion |   |
| 3-    Dedicated Project Website updated every month; | All documents on website |   |
| 4-    2 Electronic Newsletters a year; | 4 C1 Newsletters |   |
| 5-    List of users registered to the Electronic Directory of Contacts is widespread among the participants each quarter; | 150 + addressees C1, paper + electronic |   |
| 6-    Cooperative work between EU and national stakeholders in social protection is documented | Broad media coverage of most relevant C1 activities | Visibility report for EUD, Nov.2018 |
| 7-    A Task Force to ensure coherence and synergies in project intervention is established, which contributes to enhancing the level of policy dialogue on social protection reform between the EU and China | Documenting response to problem areas | Task force operational - C1 leadership and secretariat - Activities to be continued into 2019 |
| Addressing cross-cutting issues |
| Monitoring cross-fertilization |
| Confronting Component recommendations |
| Technical notes produced |
| Integrated list of 1800 + bibliographical references |
| First draft project Memorabilia |
| **R2**. Under the leadership of NDRC, coordination of policy making among government agencies in areas related to social protection reform is strengthened. | 1- NDRC proposals on social protection reform for inclusion in the XIIIth Five-years Plan are shared with other stakeholders and taken as a basis for discussion in preparatory works; | Completed 2015 |   |
| 2- NDRC preliminary evaluation of implementation of the XIII Five-years Plan in the realm of social protection, as well as its tentative proposals for inclusion in the XIV Five-years Plan are shared and discussed with other stakeholders | 2.2.1 Plan submitted for project activities during extension period | Extension core activities focus on Planning process |
| 3- A comprehensive proposal for social administration systems reform is elaborated and disseminated to interested stakeholders under project auspices. | 2.3.1 The Enhancement of Statistics Management, as a tool for decision-making in the field of Social Security | Mission to GD Province 17-21 Dec.2018 - Follow up 2019 (Provincial study visit F+1 country) |
| 2.3.2 Awareness raising on the importance of Social protection, Guangdong province | Mission to GD Province 17-21 December 2018. Follow up during RT meeting end of project Pilot provinces + |
| 4- Analysis and proposals developed under project auspices are made known to a broad audience, nationally and internationally  | Strong cooperative network in China and abroad | 2018 activities with OECD, ILO, WB, UNDP, CSSA, CSIA, MoHRSS, ACWF, CASS, CALSS |
| **R3**. Capacity of NDRC in policy development and implementation, notably establishing and enforcing a national policy evaluation technique in the area of social protection, is enhanced. | 1- A national policy evaluation technique in the area of social protection is developed and tested under the auspices of the project;  | Completed 2017 |   |
| 2- At least two-thirds of local officers involved in the training in China and in Europe demonstrate a high level of approval of the training activities; | Constant high-level rate of approval (from individual forms and NDRC reports) | Two overseas training activities held in 2018 (Germany, Italy) |
| 3- Clear goals are assigned to the pension system in terms of replacement of past income and minimum living standards | 3.3.1 Proposal for a reformed structure of the Chine pension system for urban employees | Proposal elaborated by C1 RE on basis of project works. Introduced to CASS, CASI, MoHRSS in addition to NDRC. Shared with CN and EU experts |
| 4- A methodology to assess gender implications of proposed social protection reforms is developed  | 3.4.1 Gender considerations in Pension reform | 2 national assessment reports -GD, SC -. International seminar Chengdu 25 Jan.2019 |
| 5- Interrelation between social protection and employment policies is documented and used for evaluation purposes  | 3.5.1 Research on Methods and Experiences of Strengthening Vocational training | Assessment report prof. Yang Weiguo. National monographs Be, F, I, Pl, UK |
| 3.5.2 Panel discussion on 2018 topics | BJ, 13 Sept. 2018 |
| 3.5.3 High level training on the Influence of the adjustment of economic and industrial restructuring on income distribution | Rome and Milan, 14 to 28 Oct. 2018 |
| 3.5.4 International Workshop: High quality development and capacity building for labour market in Europe and in China | Brussels, 20 Sept. 2018 - with EC, OECD |
| 6- Memoranda of understanding or equivalent instruments are discussed and concluded between NDRC and European partners, to use as a basis for continued cooperation in social protection  | Exchange of letters for future cooperation between NDRC and DG EMPL, EC | Nov. 2017 |
| 3.6.1 Visit to Spain and EUC of NDRC Vice-Chairperson | MoU signed on 28 Nov. 2018 in Madrid, centred on consequences of demographic ageing on employment and social security |
| **R4**. National policy framework for a full coverage of old-age insurance system throughout China is consolidated by strengthening the interface of various schemes, permission funding pooling, old-age insurance scheme for civil servants/the employee of public agencies and the existing multi-layer pension system | 1- A comprehensive model for multitier design of the pension system is developed under project auspices;  | 3.3.1 Proposal for a reformed structure of the Chine pension system for urban employees | See above |
| 2- A comprehensive policy for developing a universal social pension model is developed and disseminated under project auspices; | 4.2.1 Considering universal pensions in China  | Activity conducted with CASS. Assessment report Mr Qi Chuanjun. Follow up through workshop with CASS in 2019 |
| 3- An analysis of the consequences of demographic ageing on the pension system is conducted and discussed under project auspices. | 4.3.1 Active Ageing | Assessment and feasibility report produced by Prof. Asghar Zaidi. Presented at XIVth Annual meeting of China SS Association and at project Special event for day of the Elderly, Sept. 2018 |
| 4.3.2 Research report Shanghai pilot scheme on long term care and provincial study visit  | Postponed, 2019 |
| **R5**. Reform efforts in response to urbanization trends, in particular the harmonization/integration of the various basic social protection systems for different groups of beneficiaries, the portability of social insurances and better suited assistance schemes are supported | 1- Model provisions for totalization and vesting of pension rights across regions are developed and piloted in selected places | 5.1.1 Employment and social security issues under the background of population movement | Assessment report, prof. Jin Weigang. Presented BJ Peer review, Brussels Workshop |
| 5.1.2 Reviewing local progress made in GD, December 2018 | Through on the spot visits to local social insurance agencies |
| 2- An analysis of the interrelation between social and economic development and the suitability and sustainability of pension schemes is developed and used for macro projections to support systemic reform proposals. |  5.2.1 Tools for redistribution of primary income | Prof. Li Shi research from Chinese side. European research Mr Jean-Yves Hocquet on behalf of EN3S. Both presented BJ peer review and Brussels International workshop |
| 5.2.2 Dialogue and study visit to Belgium, Denmark and Poland on Active labour market policies | Conducted 19-28 September 2018 |
| 3- Proposals for bilateral Europe- China cooperation at the decentralized level are developed and start being implemented in response to the growing urbanization trend and labour mobility across the country  | 2017 programme | Plan started being implemented |

 Annex 3

 Use of European and International experts, C1

| **Year** | **European Short-Term Experts** | **Gender** | **Country** | **Notes** |
| --- | --- | --- | --- | --- |
|
|  |
| **2015** | Vleminckx, Koen | m | BE | Best practices |
| Von Nordheim | m | DK | International Workshop Brussels February 2015 |
| Holzmann | m | At |
| Queisser | f | D |
| Vleminckx | m | BE |
| Gieselinck | m | BE |
| Paldanius | m | SF |
| Crudo | m | I |
| Gortzak | m | Nl |
| Barbera | m | Sp |
| Desfosses | m | F |
| Fatome Thomas | m | F | Training in France June 2015 |
| Salinaro Edmondo | m | I |
| Guegano Yves | m | F |
| Libault Dominique | m | F |
| de Vives Aude | f | F |
| Denechere Agathe | f | F |
| Bras Pierre Louis | m | F |
| Aubert Patrick | m | F |
| Izard Jean-Luc | m | F |
| d'Yvoire Arnaud | m | F |
| Carta Allessandra | f | I |
| Ball Frederic | m | F |
| Landou Christophe | m | F |
| Pullman Tim | m | F |
| Weishaupt Thierry | m | F |
| Geraci Marco | m | F |
| Elena Dobre | f | ROM |
| Rusandu Olivia | f | ROM |
| Pablo Blazquez Antonio | m | Sp |
| Truphemus Sylvie | f | F |
| Meyet Michel | m | F |
| Pinel Philippe | m | F |
| Chastan Eric | m | F |
| Desfosses Philippe | m | F |
| **2016** | Gauvin | f | F | April Dialogue |
| Sacchi | m | I |
| Vleminckx, Koen | m | BE |
| Coyer | m | F |
| Vleminckx, Koen | m | BE | GD Training  |
| Coyer | m | F |
| Jeannerot | m | F | High Level Event  |
| Bevers | m | BE |
| Marksova | f | CZ |
| Toiu | f | ROM |
| Ortiz | f | SPA |
| Sacchi | m | I |
| Hocquet | m | F |
| Kiviniemi | m | SF |
| Kyrieri | m | HE |
| Scholz | m | D |
| Vleminckx, Koen | m | BE |
| Teresa Quílez | f | Sp | TRAINING COURSE IN SPAIN JUNE-JULY 2016 |
| Graciano Alia | f | Sp |
| Antonio Jesus Argüesn | m | Sp |
| Dolores Cano | f | Sp |
| Thomas Dominique | m | LUX |
| Dolores Ruiz | f | Sp |
| Thomas Filleur | m | F |
| Antonella Della Monacha | f | I |
| Beatriz Perez | f | Sp |
| Carlos Bravo | m | Sp |
| Ignacio Gutierrez | m | Sp |
| Gracia Castresana | f | Sp |
| Radek Mally | m | CZ |
| Rafael Domenech | m | Sp |
| Paula Roch | f | Sp |
| Carmen Armesto | f | Sp |
| Manuel Velazquez | m | Sp |
| Noami Garcia | f | Sp |
| Jesus Fuertes | m | Sp |
| Margarita Gomez | f | Sp |
| Raquel Herranz | f | Sp |
| Juan Villa | m | Sp |
| Antonio Blazquez | m | Sp |
| Andrew Reilly | m | OECD |
| Laura Molina | f | Sp |
| Michael Englert | m | BE |
| Ernesto Ortega | m | Sp |
| Jeronimo Gonzalez | m | Sp |
| 2018 | De Lespinay Laurent | m | F | Provincial review (Feb.March 2017) |
| Tretyak Andrej | m | F |
| Vleminckx Koen | m | BE |
| Antichi Massimo | m | I |
| Pasqua Maria-Teresa | f | I |
| Lavigne | f | F | Parametric reform (August 2017) |
| Mazzaferro | m | I |
| Skorpic/Suchomel | m | CZ |
| Birkholz | m | SW |
| Steinmeyer | m | D |
| Szybkie | m | PL |
| Thevenot | f | OECD | International Workshop (September 2017) |
| Vanhuisse | m | DK |
| Rangus | m | SV |
| Kauffmann | f | D | Policy dialogue (September 2017) |
| Scarpetta | m | OECD |
| Vleminckx Koen | m | BE |
| Kiviniemi Antero | m | SF |
| Langenbucher | f | OECD | ALMP Seminar (November 2017) |
| Chapron | m | F |
| Vleminckx Koen | m | BE |
| Weber Axel | m | D | Training course in Germany(January 2018) |
| Krost Ramona | f | D |
| Boehle Mara | f | D |
| Hött Beate | f | D |
| Kunissen Katharina | f | D |
| Ahrend Helke | f | D |
| Landwehr Claudia | f | D |
| Rinne Ulf | m | D |
| Becker Bernd | m | D |
| Weissbrod Thomas | m | D |
| Rengers Martina | f | D |
| Mai Christoph-Martin | m | D |
| Rieckhoff Christian | m | D |
| Langelüddeke Anne | f | D |
| Tippelmann Ortrun | f | D |
| Baller Dirk | m | D |
| Zaidi Ashgar | m | UK | Active ageing  |
| Coenen Ann | f | BE | International Workshop (September) |
| Parry Jane | f | UK |
| Hocquet Jean-Yves | m | F |
| Bagorski René | m | F |
| Majcher-Teleon Agnieszka | f | PL |
| Langenbucher Kristin | f | OECD |
| Orsetta Causa | f | I | Training Course Italy(October 2018) |
| Michele Raitano | m | I |
| Salvatore Pirrone | m | I |
| Stefano Sacchi | m | I |
| Maria Teresa Monteduro | f | I |
| Margherita Russo | f | I |
| Pietro Tommasino | m | I |
| Paolo Falco | m | I |
| Markus Collet | m | D |
| Paolo Naticchioni | m | I |
| Ferdinando Montaldi | m | I |
| Carlo Fiorio | m | I |
| Minna Ylikännö  | f | SF |
| Marco Mazziotti | m | I |
| Efisio Espa | m | I |
| Andrej Tretyak | m | F | Pilot activities GD, Dec. 2018 |
| Charles Villac | m | F |
| Thierry Weishaupt | m | F |
| Romain Chave | m | F |
| 2019 | Yasmina Lakhmad | f | F | Gender, SC, Jan |
| Maria Teresa Quilez Felez | f | Sp | GD Study visit F & Sp, January 2019 |
| Gonzalo Gimenez Coloma | m | Sp |
| Andres Pastor Bermudez | m | Sp |
| Roberto Valtuena | m | Sp |
| Andres Harto Martinez | m | Sp |
| Pierre-Louis Bras | m | F |
| Dominique Libault | m | F |
| Marie Le Bail | f | F |
| Dominique Polton | f | F |
| Thierry Weishaupt | m | F |
| Elise Lebies | f | F |
| Laurence Rilloux | f | F |
| Gilles Kounowski | m | F |
| Konrad Obermann | m | De | Panel May 2019 |
| Valerie Schmitt | f | ILO | IWS, Rome, May 2019 |
| Simon Brimblecombe | m | ISSA |
| Thierry Weishaupt | m | AIM |
| Veerle Miranda | f | OECD |
| Koen Vleminckx | m | Be |
|  |  |  |  |

Annex 4

Use of national expertise, C1

| **TOPIC** | **NAME** | **OUTPUT** | **TIMING** |
| --- | --- | --- | --- |
| 1.1.1 Social insurance administration reform | Tan Zhonghe | Assessment report | July 2015 |
| 1.1.1 Input into the XIIIth Five-year Plan | Tan Zhonghe | Assessment report | July 2015 |
| 1.1.1 Mid-term evaluation of XIIIth 5-year Plan and input into XIVth Plan | Song XiaowuYang Weiguo | Assessment reportAssessment report | May 2019May 2019 |
| 1.1.3 Monitoring interaction between employment and social security policies | Zheng GongchengZeng XiangquanZhang Juwei | PPtPPtAssessment report | April 2016Apr.&Sept.16Sept.2016 |
| 1.1.3 Methods and experiences of strengthening vocational training | Yang Weiguo | Assessment report | April 2018 |
| 1.2.2 National policy evaluation technique (SS) | Zheng Gongcheng | PPT, Assessment report | July 2017 |
| 1.2.2 National policy evaluation technique (EMPL.) | Yue Jinglun | PPT, Assessment report | July 2017 |
| 1.2.3 Sustainability of pension schemes (contributions and government subsidies) | Zheng BingwenLiu KeguXiong Jun | Assessment reportNotesPPt | Sept.2016Sept.2016Sept.2016 |
| 1.2.4 Gender considerations (SC) | Lin Yi | Assessment report | November 2017 |
| 1.2.4 Gender considerations (GD) | Yue Jinglun | Assessment report | November 2017 |
| 1.3.1 Pension reform for public sector | Zhang Yinghua | Assessment report | Nov.2015 |
| 1.3.2 Evaluation of the combination of basic pension and Individual accounts | Song Xiaowu | Assessment report | July 2015 |
| 1.3.3 Universal social pension model | Qi Chuanjun | Research report | April 2018 |
| 1.3.4 Multi-tiered design of pension systems (public pension, enterprise annuity and individual pension) | Dong KeyongZhou Hong | Assessment reportAssessment report | July 2015May 2019 |
| 1.3.5 Occupational pension plans – social security and other redistribution regulations and policy tools | Li Shi | Assessment report | April 2018 |
| 1.3.6 Parametric reform | Zhou Hong | Assessment reform | October 2017 |
| 1.3.7 Ageing population and possible strategy of dealing with this situation | Pu HaihongDong Keyong | PPtAssessment report | Sept.2016July 2016 |
| 1.3.8 Issues related to informal sector integration into social security schemes | Jin Weigang | Assessment report | April 2018 |
| 1.4.2 Relationship between social-economic development and the redistribution function of social security | Li ShiHu AngangSong Xiaowu | Assessment reportPPtNotes | August 2016Sept.2016Sept.2016 |
| 1.4.2 Redistribution – Parametric reform | Li Shi | Assessment reportPPt | October 2016 |
| 1.4.3 Strategy of integrating social security system in urban and rural context also through the portability of social insurance | Wang ZeyingJin WeigangYue JinglunLin Yi | Assessment reportNotesPPtPPt | Nov.2015Sept.2016Sept.2016Sept.2016 |
| Main Experts Component 1 | Zhang GuoqingFang Lianquan | OperationsResearch | Since Feb.2015Since Feb.2015 |

Annex 5

**Main outputs and deliverables, 2018**

| **Topic** | **Activity** | **OUTPUTS AND** **DELIVERABLES** | **STATUS** |
| --- | --- | --- | --- |
| **1.1.1 Social insurance administration systems reform (Pilot level)** |
|   | **Statistics Management Guangdong** |  Assessment and mission reports | Completed |
|   | **Awareness raising on importance of SS, Huizhou** |  Mission report, sensitization materials | Completed |
| **1.1.3 Monitoring interaction between employment promotion and social protection policies**  |
|  | **Seminar Rome, ALMP** | PPts, session report | Completed |
|  | **Research on Vocational training** | Assessment reports/ National monographs | Completed |
|  | **Panel discussion** | Ppts, session report | Completed |
|   | **Pre-training briefing** |  PPts | Completed |
|   | **Two-weeks training** |  Training report, training materials | Completed |
| **1.3.5 Towards affordable and acceptable social protection levels in old-age**  |  |
|   | **Research reports on Income distribution** |  Assessment reports, best practices reports | Completed |
|   | **Panel discussion** |  Meeting report | Completed |
|  | **International workshop Brussels** | PPts, meeting report | Completed |
|  | **Proposal on reforming the pension scheme for Urban employees** | Technical note | Completed |
| **1.3.3, 1.4.3 Universal income**   |  |
|   | **Research reports**  | Assessment report, best practices report | Completed |
| **1.2.4 Gender considerations in pension reform**  |  |
|   | **European Research reports** |  Best practices reports | Completed |
|   | **Seminar** |  Seminar report | Completed |
| **1.3.7 Ageing population and possible strategy of dealing with this situation** |  |
|  | Concluding MOU with Spain on future cooperation with spell on Ageing | MoU | On-going |
|  | Research into Active ageing index for China | Research report | Completed |
|  | Research report Shanghai pilot scheme on Long term care | Assessment report | Postponed |
|  | Provincial Dialogue and study visit for Shanghai DRC, Ageing |  Mission report | Cancelled |
| **1.3.8 Issues related to informal sector integration in social security schemes**  |  |
|   | **Research reports on Population mobility** |  Assessment report, national monographs | Completed |
|   | **Panel discussion** |  Meeting report | Completed |
|   | **International workshop** |  PPts, meeting report | Completed |
|   | **Dialogue and Study visit** |  Mission report | Completed |

Annex 6

Conclusions, Gender workshop

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**INTERNATIONAL WORKSHOP**

**ON GENDER ISSUES IN EMPLOYMENT AND SOCIAL PROTECTION**

**CHENGDU 25 JANUARY 2019**

* **CHAIRPERSON’S CONCLUSIONS –**

**Component 1**

1. The two national reports by prof. Ngok Kinglun and prof. Lin Yi and the European overview by Ms. Yasmina Lakmad largely coincided on a number of key aspects related to gender considerations in employment and social protection, thus allowing for the following concluding points.
2. There is no such thing as gender neutrality in employment and social protection. To be socially efficient, social protection has to address the factors affecting negatively gender equality also at an early stage, including though education and employment promotion measures.
3. The specifics of female employment and working conditions have to be further analysed, so that related discrimination maybe be actually combatted.
4. The situation of female migrant workers requires particular attention, including concerning the conditions under which return to the place of origin take place.
5. Old-age pension insurance should take into account the negative effects of women’s actual participation in the labour market on their capacity to accrue sufficient rights over their active lives.
6. Social protection of the elder generations should be carefully monitored, to avoid deprivation and poverty for women, who are more likely than men to be in a vulnerable position.
7. The particular contribution of women to social and economic development should be fully recognized, notably when it comes to the acquisition of pension rights.
8. Advocacy campaigns should help in raising awareness among women about their social protection entitlements, and the possibilities for their individual economic and social empowerment.
9. Statistics, and their analysis, should systematically include gender components in all aspects of employment and social protection.
10. The project should advocate the inclusion as a cross-cutting issue of gender related considerations in future technical cooperation endeavours between Europe and China in the employment, social protection and income distribution areas.

Jean-Victor Gruat,

25 January 2019

Annex 7

C1 - Proposed used of human resources, 2019

|  |  |
| --- | --- |
|  | **Total Extension** |
| Main C1 Chinese expert staff\* |  |
| *Main Chinese expert 1 Zhang Guoqing* | *8 months* |
| *Main Chinese expert 2 Fang Lianquan* | *8 months* |
| CN EXPERT SOCIAL SECURITY (13-5 and 14-5) | *35* |
| CN EXPERT INCOME DISTRIBUTION (13-5 and 14-5) | *35* |
| CN EXPERT EMPLOYMENT PROMOTION (13-5 and 14-5) | *35* |
| CN EXPERT STATISTICS - REPLICABILITY | *5* |
| CN EXPERT AWARENESS RAISING - REPLICABILITY | *5* |
| CN EXPERTS PILOT ACTIVITIES | *20* |
| CN EXPERTS GENDER | *10* |
| CN EXPERTS UNIVERSAL PENSIONS | *10* |
| ***TOTAL OTHER CN EXPERTS (C1)*** | ***155*** |
| *Component assistant* | 80 |
| Resident expert C1 JV Gruat | 144 |
| ***C1 EU PUBLIC SECTOR STAFF + SUBCONTRACTING*** | ***105*** |
| EU Expert Pilots  | 30 |
| EU Experts PLANNING (BEST PRACTICES) | 25 |
| EU Experts PROVINCIAL SUMMING UP | 10 |
| EU Experts GENDER | 30 |
| EU Experts UNIVERSAL PENSIONS | 10 |
| \* Main Chinese experts enjoy monthly fees since year 2 which does not match actually worked nb of days x standard daily fee. 9 months each foreseen for the extension  |

|  |  |
| --- | --- |
|  | *Delayed from 2018* |

Annex 8

**C1 - Expected outputs and deliverables, 2019**

|  |  |  |
| --- | --- | --- |
| **Topic** | **Activity** | **Envisaged outputs and****Deliverables** |
| **1.1.1 Social insurance administration systems reform**  |
|   | **Statistics Management**  | Mission report Materials and methodology for replicability |
|   | **Awareness raising on importance of SS** | Mission report Materials and methodology for replicability |
|  | **Provincial visit – Social security governance** | Mission report |
| **1.1.1 Contribution to the Social and Economic development 5-year plans** |
|  | **Preliminary evaluation of achievement from 13-5 and tentative proposals for inclusion in 14-5 in areas of social security, income distribution, employment promotion** | Assessment reports |
|  | **International workshop** | PPts, best practices reports, meeting report |
|  | **Dialogue and Study visit– Planning for sustainable development** | Mission report |
|  | **Panel discussion** | PPts, session report |
|   | **3-countries training cum dialogue on Planning for multi-tier pension protection** | Mission report |
|  | **Summing up RT Pilot and Provincial meeting on Project achievement and prospects for cooperation**  | Meeting report |
| **1.2.2 National policy evaluation techniques in social protection**  |
|   | **Following implementation of MOUs and other collaborative instruments** |  Project report |
| **1.2.4 Gender considerations in Employment and social protection** |
|  | **International seminar** | **Reports, PPts** |
| **1.3.3 Universal pensions** |
|  | **Round table meeting with CASS** | **Event report, PPts** |
| **1.3.7 Ageing population** |
|  | **Study on demographic ageing, Shanghai** | **Research report** |
|  | **Provincial visit on demographic ageing** | **Mission report** |
| **w/o # - Wrap-up activities** |
|   | **Thematic bibliographies on social protection** | Electronic bibliographies |
|   | **Project memorabilia** | CD ROM |
|   | **Technical Notes** | Bilingual publication |
|  | **Project policy recommendations** | Electronic bilingual publication |

Annex 9

C1 - Tentative work plan until August 2019

(SEE IN ANNEXED FILE)

Annex 10

Revised Gantt Chart – Component 1

(SEE IN ANNEXED EXCEL FILE)

1. Some topics were grouped under one combined heading “1.3.6 Parametric reform of pension schemes” [↑](#footnote-ref-1)
2. <https://www.euchinasprp.eu/en/horizontal-en/project-memorabilia-en> [↑](#footnote-ref-2)
3. For 2019, Chinese New Year started on 5 February. [↑](#footnote-ref-3)
4. Due to restructuring in Qingyuan municipal Government proposed local activities are to me moved to Huizhou municipality, which already had developed relations with the project Component one (see C1 second six-month Component report) [↑](#footnote-ref-4)
5. C1 8th Status report, para. 2.2.1.4 [↑](#footnote-ref-5)
6. See C1 5th Status report, para.2.4 and Annex 4 [↑](#footnote-ref-6)